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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/781,343 | 02/18/2004 | Hugo Quiroz-Mercado | KARAG-009A |

Robert D. Buyan
 Stout, Uxa, Buyan & Mullins, LLP
 Suite 300
 4 Venture
 Irvine, CA 92618

CONFIRMATION NO. 9228

FORMALITIES LETTER



OC000000012677577

Date Mailed: 05/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$198 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1098 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

08/23/2004 FFANAI2 00000013 10781343

01 FC:2001
 02 FC:2051
 03 FC:2202

385.00 OP
 65.00 OP
 99.00 OP

- Total additional claim fee(s) for this application is \$198

- \$198 for 11 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. KARAG-009A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Hugo Quiroz-Mercado, et al.)
Serial No.: 10/781,343)
Filed: February 18, 2004)
For: Methods and Devices for Draining)
Fluids and Lowering Intraocular Pressure)

Art Unit: 3762

Examiner: To Be Determined

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**Response to Notice to File Missing Parts
Transmittal Letter**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) mailed May 17, 2004:

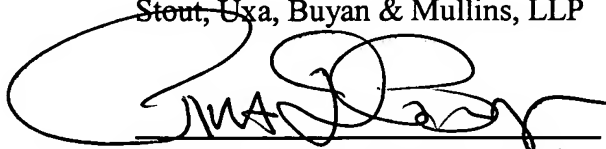
- ☒ Enclosed is a Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☐ Enclosed is a Power of Attorney by Assignee and Exclusion of Inventor(s) under 37. CFR 3.71.
- ☐ The following declaration(s) in support of Small Entity status for this application are enclosed:
 - ☐ Inventor(s).
 - ☐ Individual other than inventor.
 - ☐ Nonprofit organization.
 - ☐ Small business concern.
- ☒ Check No. 3507 in the amount of \$549.00.

- ☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

Stout, Uxa, Buyan & Mullins, LLP

Date: August 17, 2004

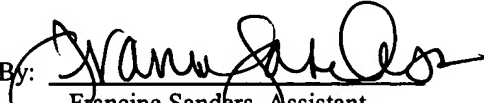


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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 17, 2004.

Dated: August 17, 2004

By: 
Francine Sanders, Assistant